

Table of Contents

Section 1 - Single State Agency Organization

- 1.1 - Designation and Authority
- 1.2 - Organization for Administration
- 1.3 - Statewide Operation
- 1.4 - State Medical Care Advisory Committee
- 1.5 - Pediatric Immunization Program
- Modified Adjusted Gross Income (MAGI)-Based Income Methodologies
 - ◆ Aid to Families with Dependent Children (AFDC) Income Standards
 - ◆ Hospital Presumptive Eligibility
 - ◆ Eligibility Groups – Mandatory Coverage
 - Parents and Other Caretaker Relatives
 - Pregnant Women
 - Infants and Children under Age 19
 - Adult Group
 - ◆ Eligibility Groups – Options for Coverage
 - Former Foster Care Children
 - Individuals Above 133% FPL
 - Optional Coverage of Parents and Other Caretaker Relatives
 - Reasonable Classification of Individuals Under Age 21
 - Children with Non IV-E Adoption Assistance
 - Optional Targeted Low Income Children
 - Individuals with Tuberculosis
 - Independent Foster Care Adolescents
 - Individuals Eligible for Family Planning Services
 - ◆ Non- Financial Eligibility
 - State Residency
 - Citizenship and Non-Citizen Eligibility
 - ◆ General Eligibility Requirements
 - Eligibility Process

Section 2 - Coverage and Eligibility

- 2.1 - Application, Determination of Eligibility and Furnishing Medicaid
- 2.2 - Coverage and Conditions of Eligibility
- 2.4 - Blindness
- 2.5 - Disability
- 2.6 - Financial Eligibility
- 2.7 - Medicaid Furnished Out of State

Section 3 - Services: General Provisions

- 3.1 - Amount, Duration, and Scope of Services
- 3.1(a)(1) - Categorically Needy
- 3.1(a)(2) - Medically Needy
- 3.1(a)(3)-(a)(5) - Other Required Special Groups
- 3.1(a)(6)-(a)(10) - Certain Aliens, Homeless Individuals, Ambulatory Prenatal Care, EPSDT, and Comparability of Services
- 3.1(b)-(c) - Home Health Services, Assurance of Transportation, and Payment for Nursing Facility Services
- 3.1(d) - Methods and Standards to Assure Quality of Services
- 3.1(e) - Family Planning Services
- 3.1(f) - Optometric Services, Organ Transplant Procedures
- 3.1(g)-(h) - Participation by Indian Health Service Facilities, Respiratory Care Services for Ventilator-Dependent Individuals
- 3.2 - Coordination of Medicaid with Medicare and Other Insurance

Table of Contents

- 3.3 - Medicaid for Individuals Age 65 or Over in Institutions for Mental Diseases
- 3.4 - Special Requirements Applicable to Sterilization Procedures
- 3.5 - Families Receiving Extended Medicaid Benefits
- 3.8 - Additional Amounts for Nursing Facility Residents

Section 4 - General Program Administration

- 4.1 - Methods of Administration
- 4.2 - Hearings for Applicants and Recipients
- 4.3 - Safeguarding Information on Applicants and Recipients
- 4.4 - Medicaid Quality Control
- 4.5 - Medicaid Agency Fraud Detection and Investigation Program
- 4.6 - Reports
- 4.7 - Maintenance of Records
- 4.8 - Availability of Agency Program Manuals
- 4.9 - Reporting Provider Payments to the Internal Revenue Services
- 4.10 - Free Choice of Providers
- 4.11 - Relations with Standard-Setting and Survey Agencies
- 4.12 - Consultation to Medical Facilities
- 4.13 - Required Provider Agreement
- 4.14 - Utilization/Quality Control
- 4.15 - Inspections of Care in Skilled Nursing and Intermediate Care Facilities and Institutions for Mental Diseases
- 4.16 - Relations with State Health and Vocational Rehabilitation Agencies and Title V Grantees
- 4.17 - Liens and Adjustments or Recoveries
 - ♦ Long Term Care Insurance Partnership
- 4.18 - Cost Sharing and Similar Charges
- 4.19 - Payment for Services
- 4.20 - Direct Payments to Certain Recipients for Physicians' or Dentists' Services
- 4.21 - Prohibition Against Reassignment of Provider Claims
- 4.22 - Third Party Liability
- 4.23 - Use of Contracts
- 4.24 - Standards for Payments for Nursing Facility and Intermediate Care Facility for the Mentally Retarded Services
- 4.25 - Program for Licensing Administrators of Nursing Homes
- 4.26 - Drug Utilization Review Program
- 4.27 - Disclosure of Survey Information and Provider or Contractor Evaluation
- 4.28 - Appeals Process for Skilled Nursing and Intermediate Care Facilities
- 4.29 - Conflict of Interest Provisions
- 4.30 - Exclusion of Providers and Suspension of Practitioners and Other Individuals
- 4.31 - Disclosure of Information by Providers and Fiscal Agents
- 4.32 - Income and Eligibility Verification System
- 4.33 - Medicaid Eligibility Cards for Homeless Individuals
- 4.34 - Systematic Alien Verification for Entitlements
- 4.35 - Remedies for Skilled Nursing and Intermediate Care Facilities that Do Not Meet Requirements of Participation
- 4.36 - Required Coordination Between the Medicaid and Women, Infants and Children (WIC) Programs
- 4.38 - Nurse Aide Training and Competency Evaluation for Nursing Facilities
- 4.39 - Preadmission Screening and Annual Resident Review in Nursing Facilities
- 4.43 - Cooperation with Medicaid Integrity Program Efforts

Section 5 - Personnel Administration

- 5.1 - Standards of Personnel Administration

Table of Contents

- 5.2 - [Reserved]
- 5.3 - Training Programs; Subprofessional and Volunteer Programs

Section 6 – Financial Administration

- 6.1 - Fiscal Policies and Accountability
- 6.2 - Cost Allocation
- 6.3 - State Financial Participation

Section 7 - General Provisions

- 7.1 - Plan Amendments
- 7.2 - Nondiscrimination
- 7.3 - Maintenance of AFDC Efforts
- 7.4 - State Governor’s Review

Attachments

- **A1**- Single State Agency Designation & Authority
- **A2** - Single State Agency Organization & Administration
- **A3**- Single State Agency Assurances
- **2.1-A** - State Plan Definition of HMO
- **2.2-A** - Groups Covered and Agencies Responsible for Eligibility Determination
 - ◆ Groups Covered (Letter to HCFA)
 - ◆ Mandatory Coverage – Categorically Needy and Other Required Special Groups
 - ◆ Optional Groups Other Than the Medically Needy
 - ◆ Optional Coverage of the Medically Needy
 - ◆ Medicare Prescription Drug Low Income Subsidy Determination
 - **Supplement 3** - Method for Determining Cost Effectiveness of Caring for Certain Disabled Children at Home
- **2.6-A** - Eligibility Conditions and Requirements
 - ◆ General Conditions of Eligibility
 - ◆ Post Eligibility Treatment of Institutionalized Individuals
 - ◆ Financial Eligibility - Categorically and Medically Needy and Qualified Medicare Beneficiaries: Income
 - ◆ Methods for Determining Resources
 - ◆ Effective Date of Eligibility
 - ◆ Pre-OBRA 93 Transfer of Resources, Transfer of Assets, and Treatment of Trusts
 - **Supplement 1 to Attachment 2.6-A** - Income Eligibility Levels
 - **Supplement 2 to Attachment 2.6-A** - Aged and Disabled Individuals Resource Levels
 - **Supplement 4 to Attachment 2.6-A** - Section 1902(f) Methodologies for Treatment of Income that Differ from Those of the SSI Program
 - **Supplement 5 to Attachment 2.6-A** - More Restrictive Methods of Treating Resources than Those of the SSI Program (Section 1902(f) States Only)
 - **Supplement 5a to Attachment 2.6-A** - Methods for Treatment of Resources for Individuals with Income Related to Federal Poverty Levels
 - **Supplement 6 to Attachment 2.6-A** - Standards for Optional State Supplementary Payments
 - **Supplement 7 to Attachment 2.6-A** - Income Levels for 1902(f) States – Categorically Needy Who Are Covered Under Requirements More Restrictive than SSI
 - **Supplement 8 to Attachment 2.6-A** - Resource Standards for 1902(f) States – Categorically Needy

Table of Contents

- **Supplement 8(a), to Attachment 2.6A Page 2** - More Liberal Methods of Treating Income Under Section 1902(r)(2) of the Act
 - **Supplement 8(b) to Attachment 2.6-A** - More Liberal Methods of Treating Resources Under Section 1902(r)(2) of the Act
 - **Supplement 8(c) to Attachment 2.6A Page 1**
 - **Supplement 9 to Attachment 2.6-A** - Transfer of Resources
 - **Supplement 9(a) to Attachment 2.6-A** - Transfer of Assets
 - **Supplement 9(b) to Attachment 2.6-A** - Transfer of Assets (after Feb. 8, 2006)
 - **Supplement 10 to Attachment 2.6-A, Corrected** - Transfer of Assets: Undue Hardship
 - **Supplement 13 to Attachment 2.6-A** - Section 1924 Provisions
 - **Supplement 16 to Attachment 2.6-A** - Asset Verification System
 - **Supplement 17 to Attachment 2.6-A** - Disqualification for Long-Term Care Assistance for Individuals with Substantial Home Equity
- **3.1-A** - Amount, Duration, and Scope of Medical and Remedial Care and Services Provided to the Categorically Needy
 - **Supplement 1 to Attachment 3.1-A** - Case Management Services
 - **Supplement 3 to Attachment 3.1-A** - Pace Services
- **3.1.A.1** - Requirements Relating to Payment for Covered Outpatient Drugs for the Categorically Needy
 - **3.1-B** - Amount, Duration, and Scope of Services Provided: Medically Needy Group(s)
 - **3.1-C** - Standards and Methods to Assure Care and Services of High Quality, Executive Order, and Submittal and Report of Action of Public Assistance Plan Materials
 - **3.1-D** - Methods of Providing Transportation
 - **3.1-E** - Standards for the Coverage of Organ Transplant Services
 - **3.2-A** - Coordination of Title XIX with Part A and Part B of Title XVIII
 - **4.5-A** – Medicaid Recovery Audit Contractor Program
 - **4.11-A** - Standards for Institutions
 - **4.14-A** - Single Utilization Review Methods for Intermediate Care Facilities
 - **4.16-A** - Cooperative Arrangements with State Department of Health and State Vocational Rehabilitation Agencies and with Title V Grantees
 - **4.17-A** - Amount, Duration and Scope of Medical and Remedial Care and Services Provided - Categorically Needy – Liens
 - **4.18-A** - Charges Imposed on the Categorically Needy
 - **4.18-B** - Charges Imposed on the Medically Needy – Premium
 - **4.18-C** - Charges Imposed on Medically Needy and Other Optional Groups
 - **4.18-D** - Premiums Imposed on Low Income Pregnant Women and Infants
 - **4.18-E** - Premiums Imposed on Qualified disabled and working Individuals
 - **4.19-A** - Methods and Standards for Establishing Payment Rates Services- Inpatient Hospital Services
 - ◆ General Reimbursement Policy
 - ◆ Payment Methodology For Freestanding Rehabilitation, And Freestanding Psychiatric Hospitals
 - ◆ Payment Methodology for Long Term Care Hospitals Serving Children (LTCHs)
 - ◆ Appeals - Per Diem Payments
 - ◆ Per Discharge Prospective Payment Methodology for Hospitals
 - ◆ Graduate Medical Education Activities
 - ◆ Supplemental Payments for Hospitals with Level 1 Trauma Centers
 - ◆ Hospitals Deemed Disproportionate Share
 - ◆ Supplemental Payments for Hospitals Participating in the Supplemental Hospital Offset Payment Program (SHOPP)
 - ◆ Inpatient Psychiatric Facility Services for Individuals under age 21
 - ◆ Inpatient Psychiatric Facility Services for Individuals under 22 years of age with Non-institutional Payment Made Separately from Per Diem Payment
- **4.19-A(1)** - Letter to Regional Administration – Re: Skilled Nursing and Intermediate Care Facilities
 - **4.19-B** - Methods and Standards for Establishing Payment Rates - Other Types of Care

Table of Contents

- ◆ Outpatient Hospital Reimbursement
- ◆ Indian Health Service and Tribal Health Facilities
- ◆ Supplemental Hospital Offset Payment Program (SHOPP)
- ◆ Rural Health Clinics and Ambulatory Services Furnished by Rural Health Clinics
- ◆ Federally Qualified Health Center Services
- ◆ Clinical Laboratory Services
- ◆ Physician Services
- ◆ Home Health Services
- ◆ Free-Standing Ambulatory Surgery Center-Clinic
- ◆ Dentists for General Dental, Orthodontic Services, and Dentists Working at a Governmental Hospital Based Children's Dental Clinic
- ◆ Ground Ambulance, Air Ambulance, and Non-Emergency Transportation and Meals and Lodging
- ◆ Prescribed Drugs
- ◆ Licensed Behavioral Health Practitioner Services
- ◆ Blood and Blood Fractions
- ◆ Durable Medical Equipment, Prosthetics, Orthotics, and Supplies (DMEPOS) and Eyeglasses
- ◆ Personal Care Services
- ◆ Nurse-Midwives
- ◆ Inpatient Psychiatric Facility
- ◆ Residential Treatment Facilities (PRTFs)
- ◆ Family Planning Services
- ◆ Early And Periodic Screening, Diagnosis, and Treatment of Conditions Found (EPSDT) of Individuals Under 21 Years of Age
- ◆ EPSDT Outpatient Behavioral Health Services
- ◆ EPSDT Multi-systemic Therapy
- ◆ EPSDT Partial Hospitalization Program
- ◆ EPSDT School-Based Services
- ◆ Organ Transplant Procedures
- ◆ Renal Dialysis Facilities
- ◆ Anesthesiologists
- ◆ Physician Assistants
- ◆ Nutritional Services
- ◆ Case Management Services
- ◆ Clinic Services
- ◆ EPSDT Emergency Hospital Services
- ◆ EPSDT Speech and Audiologist Therapy Services
- ◆ EPSDT Chiropractors
- ◆ EPSDT Hospice
- ◆ EPSDT Christian Science Nurses
- ◆ EPSDT Dentures
- ◆ EPSDT Respiratory Care
- ◆ EPSDT Private Duty Nursing
- ◆ EPSDT Physical Therapist
- ◆ EPSDT Occupational Therapist
- ◆ EPSDT Christian Science Sanatoria
- ◆ EPSDT Other Practitioners-Licensed Clinical Social Workers
- ◆ Rehabilitative Services, Outpatient Behavioral Health and Substance Use Disorder Treatment Services, and Community Recovery Support
- ◆ Program of Assertive Community Treatment (PACT) Services
- ◆ Certified Pediatric Nurse Practitioners Services
- ◆ Case Management Services for High Risk Pregnant Women, First Time Pregnant Women and Their Infants, and Developmentally Disabled Children 0-3 Years of Age
- ◆ EPSDT Outpatient Behavioral Health Services in Licensed, Private Residential Group Homes
- ◆ Birthing Center Services
- ◆ Critical Access Hospitals (CAHs)

Table of Contents

- ◆ Government Providers, Private Providers, and Non-Duplication of Services for Children Under Age 18 Who are Assessed as at Risk of Abuse or Neglect and Who are Involved in Emergency, Temporary, or Permanent Custody of the Department of Human Services (DHS) or in Voluntary Status Who are Placed in Out-of-Home Care or Trial Adoption
- ◆ Government Providers, Private Providers, and Non-Duplication of Services for Children Under Age 18 Who are Assessed as at Risk of Abuse or Neglect and Who are Involved in, or at Serious Risk of Involvement with the Juvenile Justice System
- ◆ Developmental Disabilities Services Division Targeted Case Management (DDSDTCM) for Persons with Mental Retardation and/or Related Conditions Who are Served by the Home and Community Based Services (HCBS) Waiver; or Individuals Who Reside in Institutions and have Requested HCBS and Receive TCM Prior to Entering the Waiver; or Who are being Assessed for Admission to the HCBS Waivers
- ◆ Behavior Management Residential Level of Care
- ◆ Residential Behavior Management Services (RBMS) – Group Services
- ◆ Covered Outpatient Drugs
 - **Supplement 1 to Attachment 4.19-B** - Methods and Standards for Establishing Payment Rates for Medicare Part A and Part B Deductible/Coinsurance and Claims

- **4.19-C** - Payment for Reserved Beds in Long-Term Care Facilities
- **4.19-D** – Methods and Standards for Establishing Payment Rates for Nursing Facilities
 - ◆ Standard Nursing Facility Serving Adults
 - ◆ Standard Nursing Facility Serving AIDS Patients
 - ◆ Standard Nursing Facility Serving Ventilator-Dependent Patients
 - ◆ Public and Standard Private Intermediate Care Facilities for the Mentally Retarded
 - ◆ Specialized Private ICF/MR Facilities 16 Bed or Less
- **4.19-E** - Timely-Claims Payment - Definition of Claim
- **4.22-A** - Requirements for Third Party Liability – Identifying Liable Resources
- **4.22-B** - Requirements for Third Party Liability – Payment of Claims
 - **Supplement to Attachment 4.22** – State Laws Requiring Third Parties to Provide Coverage Eligibility and Claims Data

- **4.30** - Sanctions for MCOs and PCCMs
- **4.32-A** - Income and Eligibility Verification System Procedures: Requests to Other State Agencies
- **4.33-A** - Method for Issuance of Medicaid Eligibility Cards to Homeless Individuals
- **4.34-A** - Requirements for Advance Directives Under State Plans for Medical Assistance
- **4.35-A** - Enforcement of Compliance for Nursing Facilities
- **4.35-B** - Enforcement of Compliance for Nursing Facilities - Termination of Provider Agreement
- **4.35-C** - Enforcement of Compliance for Nursing Facilities- Temporary Management
- **4.35-D** - Enforcement of Compliance for Nursing Facilities - Denial of Payment for New Admissions
- **4.35-E** - Enforcement of Compliance for Nursing Facilities - Civil Money Penalty
- **4.35-F** - Enforcement of Compliance for Nursing Facilities - State Monitoring
- **4.35-G** - Enforcement of Compliance for Nursing Facilities - Transfer of Residents; Transfer of Residents with Closure of Facility
- **4.35-H** - Enforcement of Compliance for Nursing Facilities - Additional Remedies
- **4.38-A** - Disclosure of Additional Registry Information
- **4.38-A** - Collection of Additional Registry Information
- **4.39-A** - Categorical Determinations Regarding Need of Nursing Facility and Specialized Services
- **4.42** - Employee Education About False Claims Recoveries
- **4.42-A** - Employee Education About False Claims Recoveries
- **7.2-A** - Methods of Administration - Civil Rights